

COBALT SCHIFF BASE COMPLEX PROMOTED RETRO-CLAISEN REACTION OF  
1-(2-HYDROXYPHENYL)-3-PHENYL-1,3-PROPANEDIONES AND FLAVONE FORMATION

Akira NISHINAGA,\* Kazushige MARUYAMA, Hiroyuki ANDO,  
Ryoji SATO, and Takahiro MASHINO

Department of Applied Chemistry, Osaka Institute of Technology,  
Ohmiya 5, Asahi-ku, Osaka 535, Japan

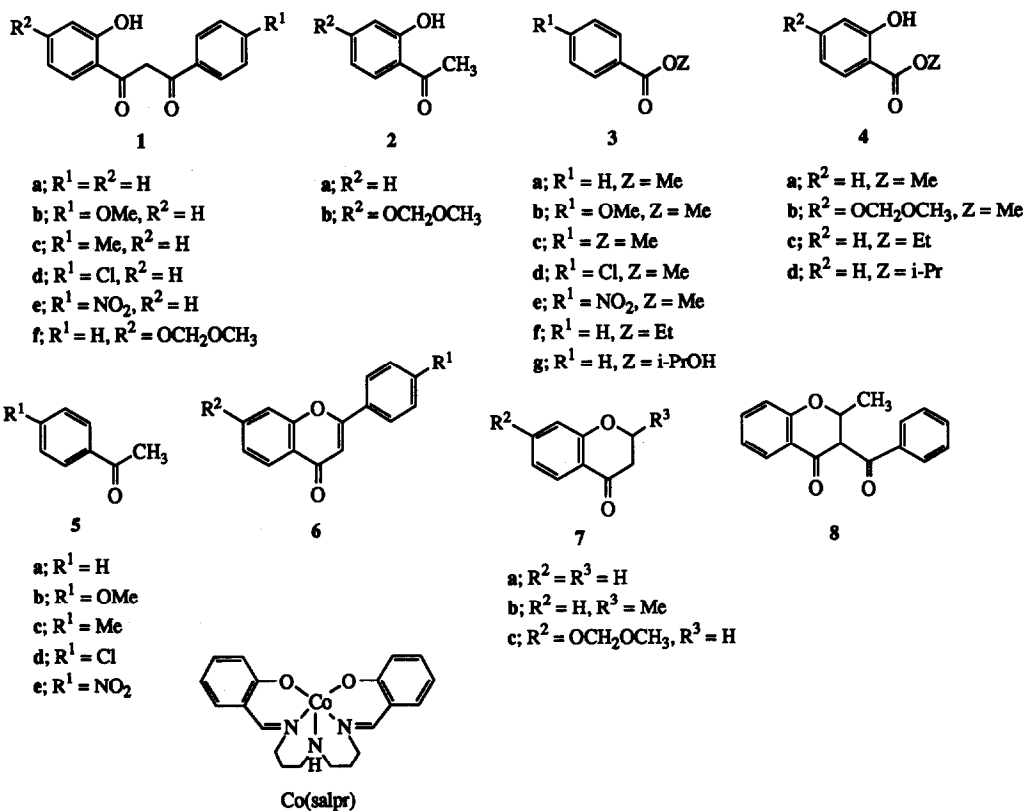
Akira INADA\* and Tsutomu NAKANISHI

Faculty of Pharmaceutical Sciences, Setsunan University  
45-1 Nagaotogecho, Hirakata, Osaka 573-01, Japan

**Summary:** Co(salpr) promotes the conversion of 1-(2-hydroxyphenyl)-3-phenyl-1,3-propanediones to retro-Claisen reaction products and flavones in methanol under oxygen. Base catalysis by Co(salpr)(OH) produced in situ is responsible for the reaction.

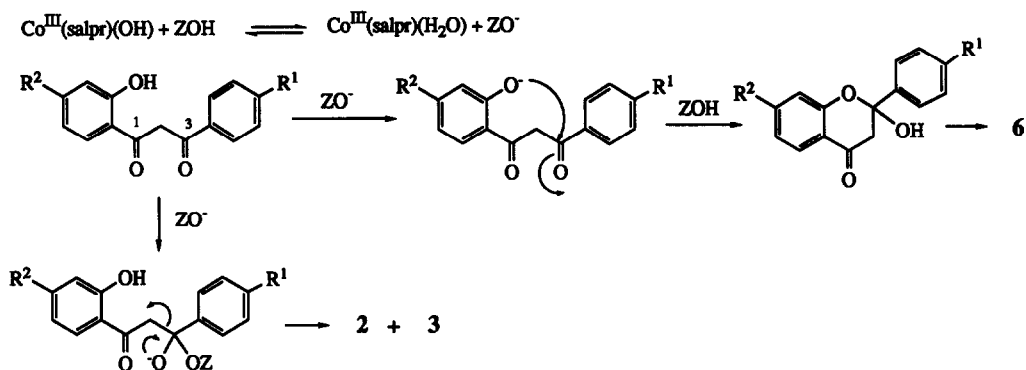
Cobalt Schiff base complexes [Co(SB)] are very interesting because of their characteristic behavior as artificial oxidoreductases: they catalyze dioxygenation in aprotic solvents, monooxygenation in protic solvents,<sup>1-5</sup> and dehydrogenation of alcohols,<sup>4</sup> hydrazones,<sup>6</sup> and amines.<sup>7,8</sup> The important key step in these model oxidoreductase reactions is the formation of oxygen sensitive substrate anion cobalt(III) complex intermediates resulting from an acid-base reaction of Co<sup>III</sup>(SB)(OH) with the substrates.<sup>9,10</sup> Recently, we have shown the first direct evidence for the base catalysis of Co<sup>III</sup>(SB)(OH) in the conversion of 2'-hydroxychalcones to the corresponding flavanones.<sup>11</sup> We now wish to report here that Co(salpr) [salpr = N<sup>1</sup>,N<sup>7</sup>-4-azaheptamethylenebis(salicylideneiminato)] promotes the conversion of 1-(2-hydroxyphenyl)-3-phenyl-1,3-propanediones to their retro-Claisen reaction products and the corresponding flavones in alcohols under oxygen. The base catalysis of Co<sup>III</sup>(salpr)(OH) produced in situ is responsible for the present reaction.

A solution of 1-(2-hydroxyphenyl)-3-phenyl-1,3-propanedione (1a) (2 mmol) in methanol (50 ml) containing Co(salpr) (2 mmol) was stirred at 60 °C under an oxygen atmosphere until the reaction was completed. After evaporation of the solvent, the resulting reaction mixture was chromatographed on a silica gel plate being eluted with dichloromethane to give 2'-hydroxyacetophenone (2a) (20%), methyl benzoate (3a) (23%), methyl salicylate (4a) (6%), acetophenone (5a) (4%), flavone (6a) (42%), and chromanone (7a) (5%). Similar results are obtained with other substituted diketones 1 (Table 1). The structures 2 - 6 and 7a<sup>12</sup> are identical with those of authentic samples (IR and <sup>1</sup>HNMR). The <sup>1</sup>HNMR signals around δ 2.8 and 4.5 ppm of compounds 7<sup>12</sup> are characteristic for the chromanone ring protons. Further, the <sup>13</sup>CNMR data of 7b<sup>12</sup> are identical with those reported.<sup>13</sup> Since the alcohol moiety of esters 3 and 4 comes from

Table 1. The Co(salpr) Promoted Reaction of 1 in Alcohol under oxygen<sup>a</sup>

1	ZOH	Reaction time(h)	Conversion (%)	2	3	4	5	6	7	Other
1a	MeOH	2.5	100	20	23	6	4	42	5 (7a)	
1b	MeOH	5.5	100	15	28	8	8	37	4 (7a)	
1c	MeOH	3	100	19	20	6	6	44	5 (7a)	
1d	MeOH	2	100	26	35	7	6	24	4 (7a)	
1e	MeOH <sup>c</sup>	31	100	37	41	3	6	10	3 (7a)	
1f	MeOH	6.5	100	27	36	5	3	23	6 (7c)	
1a	EtOH	9	100	10	15 (3f)	4 (4c)	- <sup>g</sup>	38	6 (7b)	21 <sup>d</sup>
1a	i-PrOH	4	100	- <sup>g</sup>	7 (3g)	2 (4d)	- <sup>g</sup>	18	-	24 <sup>d</sup>
1a	EtOH <sup>e</sup>	120	67	11	16 (3f)	3 (4c)	- <sup>g</sup>	49	4 (7b)	17 (8)
1a	EtOH <sup>f</sup>	72	91	-	-	-	-	14	6 (7b)	80 (8)
1a	i-PrOH <sup>f</sup>	25	57	-	-	-	-	100	-	

<sup>a</sup> Reaction conditions: 1 (2 mmol), Co(salpr) (2 mmol), MeOH (50 ml) under 1 atm of oxygen at 60 °C. <sup>b</sup> Determined by <sup>1</sup>HNMR. <sup>c</sup> A mixture of MeOH (30ml) and CH<sub>2</sub>ClCH<sub>2</sub>Cl (30 ml) is used in order to dissolve 1e. <sup>d</sup> Isolation yield. Co<sup>III</sup>(salpr)(1a<sup>-</sup>). <sup>e</sup> CH<sub>2</sub>ClCH<sub>2</sub>Cl (15 ml) containing EtOH (0.8 mol). <sup>f</sup> CH<sub>2</sub>ClCH<sub>2</sub>Cl (25 ml) containing ZOH (0.4 mol). <sup>g</sup> Not determined.

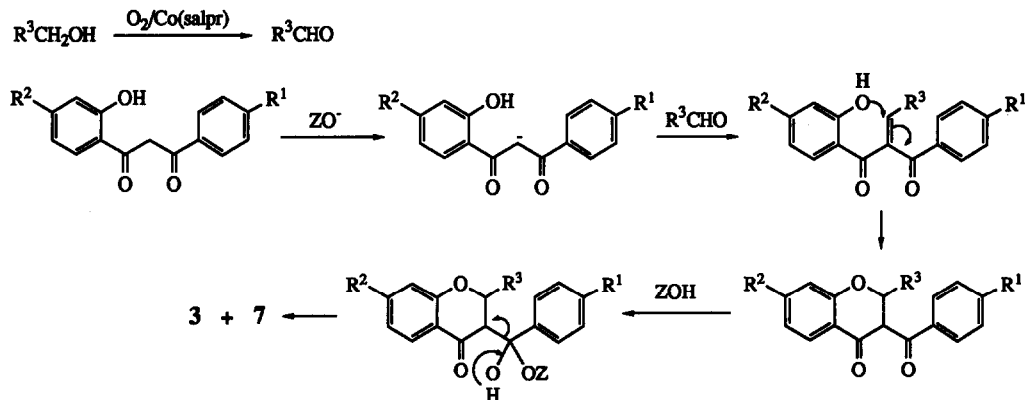


Scheme 1

the solvent used (Table 1), compounds 2 - 5 are the retro-Claisen reaction products of 1. Flavones 6 result naturally from the intramolecular addition of phenoxide anion to the 3-carbonyl group in 1 followed by dehydration. These results suggest that  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$  formed in the first place produces an alkoxide anion from the alcohol solvent by an acid-base reaction (Scheme 1). Actually, when  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$ <sup>11</sup> was employed in methanol under nitrogen, the reactions of 1a and 1f were completed in 1.5 h and 4 h, respectively, giving rise to nearly the same product distributions as those shown in Table 1. The results indicate that in methanol the  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})/\text{N}_2$  system is more effective than the  $\text{Co}^{\text{II}}(\text{salpr})/\text{O}_2$  system. On the other hand, when a solution of 1a in methanol containing sodium methoxide was refluxed, the reaction was completed in 3 h to give similar product distribution, but not compound 7a. Therefore, the formation of 7 is characteristic of the reaction promoted by  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$ . The higher yield of 2 and 3 compared to 4 and 5 is due to predominant attack by the alkoxide anion at the 3-carbonyl group. Thus, an electron-withdrawing group  $\text{R}^1$  in 1 leads to increase in the yield of 2 and 3. Interestingly, when 1,2-dichloroethane including a less amount of ethanol or 2-propanol was used as solvent, the reaction became slow and no esters were formed. Instead, compound 8<sup>12</sup> was obtained in good yield with ethanol, whereas with 2-propanol flavone was the sole product. Compounds 7 should result from the condensation of 1 with the appropriate aldehyde: formaldehyde in methanol and acetaldehyde in ethanol, formed under the reaction conditions<sup>4</sup> (Scheme 2). In fact, heating an equimolar mixture of 1a,  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$ , and formaldehyde in methanol gave 7a (57%) and 3a (61%).

Coordinately unsaturated  $\text{Co}^{\text{III}}(\text{salen})(\text{OH})$ <sup>4</sup> is catalytically inactive (no reaction within 50 h). However, upon the addition of twenty-fold concentration of pyridine to the solution of  $\text{Co}^{\text{III}}(\text{salen})(\text{OH})$ , the reaction of 1a was completed in 2 h to give the same products as those obtained in the reaction with  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$ . Furthermore, the use of  $\text{Co}^{\text{III}}(\text{salpr})(\text{OAc})$  in place of  $\text{Co}^{\text{III}}(\text{salpr})(\text{OH})$  slows down the reaction (55 h for completion with 1a). The structurally dependent reactivity of the  $\text{Co}^{\text{III}}$  species indicates that

coordinately saturated structure of the hydroxocobalt(III) species enable to function as a good base is essential for the present reaction. Selection of the reaction conditions may develop a new convenient route to flavones and 3-acylchromanones, which is currently investigated.



Scheme 2

## References

- 1 A. Nishinaga, H. Tomita, *J. Mol. Catal.*, **7**, 179 (1980). A. Nishinaga, H. Ohara, H. Tomita, T. Matsuura, *Tetrahedron Lett.*, **24**, 213 (1983).
- 2 A. Nishinaga, H. Tomita, T. Matsuura, *Tetrahedron Lett.*, **21**, 1261 (1980).
- 3 A. Nishinaga, S. Yamazaki, T. Matsuura, *Tetrahedron Lett.*, **25**, 5805 (1984); **27**, 2649 (1986).
- 4 A. Nishinaga, T. Yamada, H. Fujisawa, K. Ishizaki, H. Ihara, T. Matsuura, *J. Mol. Catal.*, **48**, 2409 (1988).
- 5 A. Zombeck, D. E. Hamilton, R. S. Drago, *J. Am. Chem. Soc.*, **104**, 6782 (1982); **109**, 374 (1987).
- 6 A. Nishinaga, S. Yamazaki, T. Matsuura, *Chem. Lett.*, **1986**, 505.
- 7 A. Inada, Y. Nakamura, Y. Morita, *Chem. Lett.*, **1980**, 1287.
- 8 A. Nishinaga, S. Yamazaki, T. Matsuura, *Tetrahedron Lett.*, **28**, 6309 (1987); **29**, 4115 (1988).
- 9 A. Nishinaga, *Protein, Nucleic Acid, and Enzyme*, ISCN 0371-8565, **26**, 214 (1983). A. Nishinaga, H. Iwasaki, T. Shimizu, Y. Toyota, T. Matsuura, *J. Org. Chem.*, **51**, 2257 (1986).
- 10 A. Nishinaga, N. Numada, K. Maruyama, *Tetrahedron Lett.*, **30**, 2257 (1989).
- 11 K. Maruyama, K. Tamanaka, A. Nishinaga, A. Inada, T. Nakanishi, *Tetrahedron Lett.*, **30**, 4145 (1989).
- 12 Compound 7a was synthesized according to the reported method.<sup>14</sup> <sup>1</sup>H NMR (CDCl<sub>3</sub>), δ 2.77 (t, 2H, J = 6.4 Hz), 4.50 (t, 2H, J = 6.4 Hz), 6.8-8.0 (m, 4H). Compound 7c: <sup>1</sup>H NMR (CDCl<sub>3</sub>), δ 2.77 (t, 2H, J = 6.2 Hz), 3.5 (s, 3H), 4.52 (t, 2H, J = 6.2 Hz), 5.2<sup>3</sup> (s, 2H), 6.5-7.9 (m, 3H). Compound 7b: <sup>1</sup>H NMR (CDCl<sub>3</sub>), δ 1.60 (d, 3H, J = 6.8 Hz), 2.74 (d, 2H, J = 6.8 Hz), 4.67 (sxt, 1H, J = 6.8 Hz), 6.9-8.2 (m, 4H). <sup>13</sup>C NMR (CDCl<sub>3</sub>), δ 20.92 (CH<sub>3</sub>), 44.64 (3-C), 74.26 (2-C), 117.88 (8-C), 120.86 (4a-C), 121.16 (6-C), 126.94 (5-C), 135.88 (7-C), 161.69 (8a-C), 192.25 (4-C). Compound 8: mp, 77.0-78.5 °C; ν<sub>C=O</sub> (KBr), 1688, 1678 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>), δ 1.48 (d, 3H, J = 6 Hz), 4.64 (d, 1H, J = 12 Hz), 6.7-8.1 (m, 9H); <sup>13</sup>C NMR (CDCl<sub>3</sub>), δ 19.79 (CH<sub>3</sub>), 59.81 (3-C), 76.24 (2-C), 117.90, 121.46, 127.22, 128.63, 128.73, 128.78, 136.40, 136.68, 137.74, 161.22, 189.98 (C=O), 196.57 (C=O). Anal. Calcd for C<sub>17</sub>H<sub>14</sub>O<sub>3</sub>: C, 76.69; H, 5.26. Found: C, 76.34; H, 5.36.
- 13 Y. Senda, A. Kasahara, T. Izumi, T. Takeda, *Bull. Chem. Soc. Jpn.*, **50**, 2789 (1979).
- 14 D. P. Michael, *J. Org. Chem.*, **40**, 1454 (1975).

(Received in Japan 21 February 1990)